

IV

(Notices)

NOTICES FROM EUROPEAN UNION INSTITUTIONS, BODIES, OFFICES AND AGENCIES

EUROPEAN COMMISSION

Commission communication in the framework of the implementation of Commission Regulation (EU) 2016/2281 implementing Directive 2009/125/EC of the European Parliament and of the Council with regard to ecodesign requirements for air heating products, cooling products, high temperature process chillers and fan coil units*(Publication of titles and references of transitional methods of measurement and calculation⁽¹⁾ for the implementation of Regulation (EU) 2016/2281, and in particular Annexes III and IV thereto)*

(Text with EEA relevance)

(2017/C 229/01)

1. **References**

Parameter	ESO	Reference/Title	Notes
Warm air heaters using gaseous fuel			
P _{nom} , rated heating capacity P _{min} , minimum heating capacity	CEN	[See note]	<p>EN 1020:2009, EN 1319:2009, EN 1196:2011, EN 621:2009 and EN 778:2009 do not describe methods to establish the heat output. The efficiency is calculated on the basis of the flue gas loss and the heat input.</p> <p>The heat output P_{nom} can be calculated with the equation $P_{nom} = Q_{nom} * \eta_{th, nom}$, where Q_{nom} is the nominal heat input and $\eta_{th, nom}$ is the nominal efficiency. P_{nom} shall be based on the gross calorific value of the fuel.</p> <p>Similarly P_{min} can be calculated with the equation $P_{min} = Q_{min} * \eta_{th, min}$</p>

⁽¹⁾ It is intended that these transitional methods will ultimately be replaced by harmonised standard(s). When available, reference(s) to the harmonised standard(s) will be published in the *Official Journal of the European Union* in accordance with Articles 9 and 10 of Directive 2009/125/EC.

Parameter	ESO	Reference/Title	Notes
$\eta_{th,nom}$ useful efficiency at rated heating capacity		EN1020:2009 - see clause 7.4.5 EN1319:2009 clause 7.4.4 EN 1196:2011, clause 6.8.2 EN621:2009 clause 7.4.5 EN 778:2009 clause 7.4.5	Efficiency can be determined as described in applicable standards, but shall be expressed on basis of gross calorific value of fuel
$\eta_{th,min}$ useful efficiency at minimal load		EN 1020:2009 - see clause 7.4.6 EN1319:2009 clause 7.4.5 EN 1196:2011, clause 6.8.3 EN621:2009 clause 7.4.6 EN 778:2009 clause 7.4.6	Efficiency can be determined as described in applicable standards, but shall be expressed on basis of gross calorific value of fuel
AF_{nom} air flow at rated heating capacity AF_{min} air flow at minimal load		[See note]	None of the standards describes methods to establish the warm air flow rate (or air delivery rate).
$e_{l,nom}$ electric power consumption at rated heating capacity $e_{l,min}$ electric power consumption at minimum load		[See note]	According EN1020:2009 the electric power input shall be expressed on the data plate (clause 8.1.2. f) in volts, amperes, etc. The manufacturer may convert the applicable values to Watts using known conventions. Care should be taken not to include the fan for transport/distribution of warm air in the electric power consumption.
$e_{l,sb}$ electric power consumption at standby mode		IEC 62301:2011-01	IEC 62301:2011 applies to household appliances/issues to be discussed with relevant TCs
P_{pilot} permanent pilot flame power consumption		[See note]	According EN1020:2009 clause 8.4.2 the technical instructions for installation and adjustment shall contain " a technical table (that includes) heat input, heat output, rating of any ignition burner, (etc.), air delivery volumes, etc. The heat input by the permanent pilot flame can be determined in a way similar to the main energy input.

Parameter	ESO	Reference/Title	Notes
Emissions of nitrogen oxide (NO _x)	CEN	CEN Report CR 1404:1994	NO _x emission values are to be expressed in mg/kWh, based on gross calorific value GCV of the fuel.
F _{env} envelope losses	CEN	EN 1886:2007	Insulation class according to five classes, designated as T1-T5
IP rating (ingress protection rating)		EN 60529:1991/ AC:2016-12	

Warm air heaters using liquid fuel

P _{nom} , rated heating capacity P _{min} , minimal load	CEN	EN 13842:2004 Oil-fired convection air heaters — Stationary and transportable	EN 13842:2004 does not describe methods to establish the heat output. The heat output P _{nom} can be calculated with the equation $P_{nom} = Q_N * \eta_{th, nom}$, where Q _N is the nominal heat input (clause 6.3.2.2) and η_{nom} is the efficiency at rated heating capacity. Q _N and η shall be based on the gross calorific value of the fuel. Similarly P _{min} can be calculated with the equation $P_{min} = Q_{min} * \eta_{th, min}$ where Q _{min} and $\eta_{th, min}$ are the heat input and efficiency at minimum load conditions
$\eta_{th, nom}$ useful efficiency at rated heating capacity $\eta_{th, min}$ useful efficiency at minimal load		EN 13842:2004 Clause 6.5.6, applicable to either nominal or minimum load	$\eta_{th, nom}$ equals η in clause 6.5.6
AF _{nom} air flow at rated heating capacity AF _{min} air flow at minimal load		[See note]	None of the standards describes methods to establish the warm air flow rate (or air delivery rate).
el _{nom} electric power consumption at rated heating capacity el _{min} electric power consumption at minimum load el _{sb} electric power consumption at standby mode		[See note]	According EN1020:2009 the electric power input shall be expressed on the data plate (clause 8.1.2.k) in volts, amperes, etc. The manufacturer may convert the applicable values to Watts using known conventions. Care should be taken not to include the fan for transport/distribution of warm air in the electric power consumption.

Parameter	ESO	Reference/Title	Notes
Emissions of nitrogen oxide (NO _x)	CEN	EN 267:2009 + A1:2011 Automatic forced draught burners for liquid fuels; § 4.8.5. Emission limit values for NO _x and CO; § 5. Testing. ANNEX B. Emission measurements and corrections.	NO _x emission values are expressed on the basis of the gross calorific value of the fuel.
F _{env} envelope losses	CEN	EN 1886:2007	Insulation class according five classes, designated as T1-T5
IP rating (ingress protection rating)		EN 60529:1991/ AC:2016-12	

Warm air heaters using electric Joule effect

P _{nom} , rated heating capacity and P _{min} , heat output at minimal load	CEN	IEC/EN 60675 ed 2.1; 1998 § 16	A standard for actual measurement of heat output of electric warm air heaters has not been identified. The electric power input at nominal or minimum load is considered representative for the nominal or minimum heat output. P _{nom} and P _{min} correspond to the usable power in IEC 60675 ed. 2.1:1998 at nominal and minimum load, minus the power requirement for fans that distribute the warm air and the power requirement of electronic controls where relevant.
η _{th, nom} useful efficiency at rated heating capacity	n.a.	[See note]	The value is default 100 %.
η _{th, min} useful efficiency at minimal load	n.a.		
AF _{nom} air flow at rated heating capacity AF _{min} air flow at minimal load		[See note]	None of the standards describes methods to establish the warm air flow rate (or air delivery rate).
e _{sb} electric power consumption at standby mode		IEC 62301:2011-01	
F _{env} envelope losses	CEN	EN 1886:2007	Insulation class according five classes, designated as T1-T5

Parameter	ESO	Reference/Title	Notes
IP rating (ingress protection rating)		EN 60529:1991/ AC:2016-12	

Electric driven comfort chillers, air conditioners and heat pumps

SEER	CEN	EN 14825:2016, section 6.1	
Q_C		EN 14825:2016, section 6.2	
Q_{CE}		EN 14825:2016, section 6.3	
SEER _{on,part load ratio}		EN 14825:2016, section 6.4	
EER _{bin(T_j)} , CR _u , C _c , C _d		EN 14825:2016, section 6.5	
$\eta_{s,h}$		EN 14825:2016, section 7.1	η_s is equal to $\eta_{s,h}$
SCOP		EN 14825:2016, section 7.2	
Q_H		EN 14825:2016, section 7.3	
Q_{HE}		EN 14825:2016, section 7.4	
SCOP _{on,part load ratio}		EN 14825:2016, section 7.5	
COP _{bin(T_j)} , CR _u , C _c , C _d		EN 14825:2016, section 7.6	
C _c and C _d		EN 14825:2016, section 8.4.2 & 8.4.3	C _c is equal to C _{d,c} or C _{d,h} C _d is equal to C _{d,c} or C _{d,h}
P _{off} , P _{sb} , P _{ck} & P _{to}		EN 14825:2016, section 9	

Comfort chillers, air conditioners and heat pumps using internal combustion

SPER _c	CEN	EN 16905-5:2017, section 6	
SGUE _c		EN 16905-5:2017, section 6.4	
SAEF _c		EN 16905-5:2017, section 6.5	
GUE _{c,pl}		EN 16905-5:2017, section 6.10	

Parameter	ESO	Reference/Title	Notes
$GUE_{d,c}$		EN 16905-5:2017, section 6.2	
Q_{Ec} & Q_{Eh}		EN 16905-4:2017, section 4.2.1.2	
Q_{Ehr}		EN 16905-4:2017, section 4.2.2.1	
Q_{gmc} & Q_{gmh}		EN 16905-4:2017, section 4.2.5.2 and section 4.2.5.1	
$Q_{ref,c}$ & $Q_{ref,h}$		EN 16905-5:2017, section 6.6	
$SPER_h$		EN 16905-5:2017, section 7	
$SGUE_h$		EN 16905-5:2017, section 7.4	
$SAEF_h$		EN 16905-5:2017, section 7.5	
$SAEF_{h,on}$		EN 16905-5:2017, section 7.7	
$AEF_{h,pl}$		EN 16905-5:2017, section 7.10	
$AEF_{d,h}$		EN 16905-5:2017, section 7.2	
P_{Ec} & P_{Eh}		EN 16905-4:2017, section 4.2.6.2	

Comfort chillers, air conditioners and heat pumps using sorption cycle

$SGUE_c$	CEN	EN 12309-6:2014, section 4.3	
$SAEF_c$		EN 12309-6:2014, section 4.4	
$Q_{ref,c}$		EN 12309-6:2014, section 4.5	
$SAEF_{c,on}$		EN 12309-6:2014, section 4.6	
GUE_c & AEF_c		EN 12309-6:2014, section 4.7	
$SPER_h$		EN 12309-6:2014, section 5.3	
$SGUE_h$		EN 12309-6:2014, section 5.4	
$SAEF_h$		EN 12309-6:2014, section 5.5	

Parameter	ESO	Reference/Title	Notes
$Q_{ref,h}$		EN 12309-6:2014, section 5.6	
$SAEF_{h,on}$		EN 12309-6:2014, section 5.7	
GUE_h & AEF_h		EN 12309-6:2014, section 5.8	

High temperature process chillers

refrigeration load $P_{designR}$		Analogue to EN14825:2016 — Section 3.1.44	
part load ratio		Analogue to EN14825:2016 — Section 3.1.56	
declared capacity DC		Analogue to EN14825:2016 — Section 3.1.31	
capacity ratio C_R		Analogue to EN14825:2016 — Section 3.1.17	
bin hours		As defined in Regulation (EC) 2016/2281, Annex III, Table 28.	
energy efficiency ratio at declared capacity EER_{DC}		EN 14511-1/-2/-3:2013 for the determination of EER values at given conditions	The EER includes degradation losses when the declared capacity of the chiller is higher than the refrigeration demand
energy efficiency ratio at part load or full load conditions EER_{PL}			
seasonal energy performance ratio (SEPR)		Point 5 of this Communication (European Commission)	
capacity control		As in EN14825:2016 — Section 3.1.32	See comments related to capacity control of air conditioners, chillers and heat pumps
degradation coefficient C_C		As in EN14825:2016 — Section 8.4.2	

Parameter	ESO	Reference/Title	Notes
Multisplit air conditioners and multisplit heat pumps			
EER _{outdoor}	CEN	EN 14511-3:2013, Annex I	Rating of indoor and outdoor units of multisplit and modular heat recovery multisplit system
COP _{outdoor}	CEN	EN 14511-3:2013, Annex I	Rating of indoor and outdoor units of multisplit and modular heat recovery multisplit system

NOTES:

- There is no European standard dealing with vapour compression liquid or gaseous fuel engine driven heat pumps. A working group: CEN/TC 299 — WG3 is working on a standard.
- The European standards EN 12309 part 1 and part 2, dealing with liquid or gaseous fuel sorption heat pumps are under revision in CEN/TC299 — WG2, particularly to calculate a seasonal energy efficiency.

2. *Additional elements for measurements and calculations related to the seasonal space heating energy efficiency of warm air heaters*

2.1. Test points

The useful efficiency, the useful heat output, the electric power consumption and the air flow shall be measured at nominal and minimum heat output.

2.2. Calculation of the seasonal space heating energy efficiency of warm air heaters

(a) The seasonal space heating energy efficiency η_S for warm air heaters using fuels is defined as:

$$\eta_S = \eta_{S,on} - \sum F(i)$$

(b) The seasonal space heating energy efficiency η_S for warm air heaters using electricity is defined as:

$$\eta_S = \left(\frac{1}{CC}\right) \cdot \eta_{S,on} - \sum F(i)$$

where:

- $\eta_{S,on}$ is the seasonal space heating energy efficiency in active mode, expressed in %;
- CC is the conversion coefficient as defined in Annex I of Regulation (EU) 2016/2281;
- F(i) are corrections calculated according to point 2.7 below and expressed in %.

2.3. Calculation of the seasonal space heating energy efficiency in active mode

The seasonal space heating energy efficiency in active mode $\eta_{S,on}$ is calculated as follows:

$$\eta_{S,on} = \eta_{S,th} \cdot \eta_{S,flow}$$

where:

- $\eta_{S,th}$ is the seasonal thermal energy efficiency, expressed in %;
- $\eta_{S,flow}$ is the emission efficiency for a specific air flow, expressed in %.

2.4. Calculation of the seasonal thermal energy efficiency $\eta_{S,th}$

The seasonal thermal energy efficiency $\eta_{S,th}$ is calculated as follows:

$$\eta_{S,th} = \left(0,15 \cdot \eta_{th,nom} + 0,85 \cdot \eta_{th,min} \right) - F_{env}$$

where:

- $\eta_{th,nom}$ is the useful efficiency at nominal (maximal) load, expressed in % and based on GCV;
- $\eta_{th,min}$ is the useful efficiency at minimum load, expressed in % and based on GCV;
- F_{env} is the envelope loss factor of the heat generator, expressed in %.

2.5. Calculation of the envelope loss

The envelope loss factor F_{env} depends on the intended placement of the unit and is calculated as follows:

- (a) if the warm air heater is specified to be installed in the heated area:

$$F_{env} = 0$$

- (b) if the protection against ingress of water of the part of the product that incorporates the heat generator has a IP rating of x4 or higher (IP rating according IEC 60529 (ed 2.1), clause 4.1), the envelope loss factor depends on the thermal transmittance of the envelope of the heat generator according to Table 1.

Table 1

Envelope loss factor of the heat generator

Thermal transmittance (U) [W/m ² ·K]	Factor F_{env}
$U \leq 0,5$	0,4 %
$0,5 < U \leq 1,0$	0,6 %
$1,0 < U \leq 1,4$	1,0 %
$1,4 < U \leq 2,0$	1,5 %
No requirements	5,0 %

2.6. Calculation of the emission efficiency $\eta_{S,flow}$

The emission efficiency $\eta_{S,flow}$ is calculated as follows:

$$\eta_{S,flow} = 1 - 9,78 \cdot \left(\frac{0,15 \cdot P_{nom}}{AF_{nom}} + \frac{0,85 \cdot P_{min}}{AF_{min}} \right)$$

where:

- P_{nom} is the output power at nominal (maximal) load, expressed in kW;
- P_{min} is the output power at minimum load, expressed in kW;

- AF_{nom} is the air flow at nominal (maximal) load, expressed in m^3/h , corrected to 15 °C equivalent ($V_{15\text{ °C}}$);
- AF_{min} is the air flow at minimal load, expressed in m^3/h , corrected to 15 °C equivalent.

The emission efficiency of the air flow is based on a 15 °C temperature increase. In case the unit is intended to produce a different temperature increase ('t') the actual air flow 'V' shall be recalculated into an equivalent air flow ' $V_{15\text{ °C}}$ ' as follows:

$$V_{15\text{ °C}} = V \cdot \frac{288}{273 + t}$$

where:

- $V_{15\text{ °C}}$ is the equivalent air flow at 15 °C;
- V is the actual delivered air flow;
- t is the actual delivered temperature increase.

2.7. Calculation of $\sum F(i)$ for warm air heaters

$\sum F(i)$ is the summation of various correction factors, all expressed in percentage points.

$$\sum F(i) = F(1) + F(2) + F(3) + F(4)$$

These correction factors are as follows:

- (a) The correction factor F(1) for the adaptation of heat output takes into account the way the product adapts to a heat load (which can be either through single stage, two stage, modulating control) and the load range ($1 - (P_{min}/P_{nom})$) the heater can work in related to the state-of-the-art load range of this technology, as described in Table 2.

For heaters with state-of-the-art or higher load ranges the full value of parameter B can be taken into account, leading to a lower value for correction factor F(1). For heaters with a smaller load range a smaller than maximum value of B is taken into account.

Table 2

Calculation of F(1) depending on heat output control and load range

Heat output control	Calculation of F(1)	Where B is calculated as:
Single stage (no load range)	$F(1) = 5\% - B$	$B = 0\%$
Two stage (highest load range: 50 %)		$B = \frac{1 - \left(\frac{P_{min}}{P_{nom}}\right)}{(100\% - 50\%)} \cdot 2,5\%$ <p style="text-align: center;"><i>with B is maximum 2,5 %</i></p>
Modulating (highest load range: 70 %)		$B = \frac{1 - \left(\frac{P_{min}}{P_{nom}}\right)}{(100\% - 30\%)} \cdot 5\%$ <p style="text-align: center;"><i>with B is maximum 5 %</i></p>

(b) The correction F(2) accounts for a negative contribution to the seasonal space heating energy efficiency by auxiliary electricity consumption for warm air heaters, expressed in %, and is given as follows:

(i) For warm air heaters using fuels:

$$F(2) = 2,5 \cdot \frac{0,15 \cdot e_{l_{\max}} + 0,85 \cdot e_{l_{\min}} + 1,3 \cdot e_{l_{\text{sb}}}}{P_{\text{nom}}}$$

(ii) For warm air heaters using electricity:

$$F(2) = 1,3 \cdot \frac{e_{l_{\text{sb}}}}{P_{\text{nom}} * CC}$$

where:

- $e_{l_{\max}}$ is the electric power consumption when the product is providing the nominal heat output, excluding the energy needed for the transport fan, expressed in kW;
- $e_{l_{\min}}$ is the: electric power consumption when the product is providing the minimum heat output, excluding the energy needed for the transport fan, expressed in kW;
- $e_{l_{\text{sb}}}$ is the electric power consumption when the product is in standby mode, expressed in kW;

OR a default value as set out in EN 15316-1 may be applied.

(c) The correction F(3) accounts for a negative contribution to the seasonal space heating energy efficiency for gravity vented combustion systems (combustion air transported by natural draft) as additional thermal losses during the time the burner is off have to be considered.

(i) For warm air heaters in which transport of combustion air is by natural draught:

$$F(3) = 3 \%$$

(ii) For warm air heaters in which transport of combustion air is by forced draught:

$$F(3) = 0 \%$$

(d) The correction F(4) accounts for a negative contribution to the seasonal space heating energy efficiency by permanent pilot flame power consumption and is given as follows:

$$F(4) = 4 \cdot \frac{P_{\text{ign}}}{P_{\text{nom}}}$$

In which the value '4' is the ratio of the average heating period (4 000 hrs/yr) by the average on-mode duration (1 000 hrs/yr).

3. **Additional elements for calculations related to the seasonal space heating and cooling efficiency of comfort chillers, air conditioners and heat pumps**

3.1. **Calculation of the seasonal space heating energy efficiency for heat pump:**

(a) For heat pumps using electricity

(i) The seasonal space heating energy efficiency $\eta_{s,h}$ is defined as:

$$\eta_{s,h} = \frac{1}{CC} \cdot SCOP - \sum F(i)$$

where:

— SCOP is the seasonal coefficient of performance, expressed in %;

— F(i) are the corrections calculated according to point 3.3, expressed in %.

(ii) Calculation of SCOP of heat pumps using electricity is as follows:

$$SCOP = \frac{Q_H}{Q_{HE}}$$

where:

$$Q_H = P_{designh} * H_{HE}$$

and,

$$Q_{HE} = \frac{Q_H}{SCOP_{on}} + (H_{TO} * P_{TO}) + (H_{SB} * P_{SB}) + (H_{CK} * P_{CK}) + (H_{OFF} * P_{OFF})$$

in which,

$$SCOP_{on} = \frac{\sum_{j=1}^n h_j * P_h(T_j)}{\sum_{j=1}^n h_j * \left(\frac{P_h(T_j) - elbu(T_j)}{COP_{bin}(T_j)} + elbu(T_j) \right)}$$

(iii) $COP_{bin}(T_j)$ is determined as follows:

(1) For fixed capacity units:

In case the lowest declared heating capacity exceeds the part load for heating (or capacity ratio $CR_u \leq 1,0$):

$$COP_{bin}(T_j) = COP_d * \{1 - C_d * (1 - CR_u)\}$$

where:

— $COP_{bin}(T_j)$ = bin-specific coefficient of performance;

— $COP_d(T_j)$ = declared coefficient of performance;

— $C_d = 0,25$ (default value) or established by a cycling test;

and,

$$CR_u = \frac{P_H}{P_d}$$

(2) For staged or variable capacity units:

Determine the declared heating capacity and $COP_d(T_j)$ at the closest step or increment of the capacity control of the unit to reach the required heat load.

If this step does allow to reach the required heating load within $\pm 10\%$ (e.g. between 9,9 kW and 8,1 kW for a required heating load of 9 kW), then $COP_{bin}(T_j)$ is assumed to be equal to $COP_d(T_j)$.

If this step does not allow to reach the required heating load within $\pm 10\%$ (e.g. between 9,9 kW and 8,1 kW for a required heating load of 9 kW), determine the capacity and $COP_{bin}(T_j)$ at the defined part load temperatures for the steps on either side of the required heating load. The part load capacity and the $COP_{bin}(T_j)$ at the required heating load are then determined by linear interpolation between the results obtained from these two steps.

If the smallest control step of the unit only allows a declared heating capacity higher than the required heating load, the $COP_{bin}(T_j)$ at the required part load ratio is calculated using the approach laid out for fixed capacity units.

(3) For bins representing other than above described operating conditions:

The COP_{bin} shall be established by interpolation, except for part load conditions above part load condition A, for which the same values as for condition A shall be used and for part load conditions below part load condition D, for which the same values as for condition D shall be used.

(b) For heat pumps using fuels

(i) The seasonal space heating energy efficiency $\eta_{S,heat}$ is defined as:

$$\eta_{S,h} = SPER_h - \sum F(i)$$

where:

- $SPER_h$ is the seasonal primary energy ratio for heating, expressed in %;
- $F(i)$ are the corrections calculated according to point 3.3, expressed in %.

(ii) Calculation of $SPER_h$ of heat pumps using internal combustion

$$SPER_h = \frac{1}{\frac{1}{SGUE_h} + \frac{CC}{SAEF_h}}$$

where:

$$SGUE_h = \frac{\sum_{j=i}^n h_j * P_h(T_j)}{\sum_{j=i}^n h_j * \left(\frac{P_h(T_j)}{GUE_{h,bin}(T_j)} \right)}$$

(iii) $GUE_{h,bin}$ and $SAEF_h$ are determined as follows:

$$GUE_{h,bin} = \frac{Q_{Eh} + Q_{Ehr,c}}{Q_{gmh}}$$

where:

- Q_{Eh} = effective heating capacity, in kW;
- $Q_{Ehr,c}$ = effective heat recovery capacity, in kW;
- Q_{gmh} = is the measured heating heat input, in kW;
- GUE_h shall also take into account degradation effects due to cycling in a manner similar to that of electric heat pumps.

and,

$$SAEF_h = \frac{Q_{ref,h}}{\left(\frac{Q_{ref,h}}{SAEF_{h,on}} + (H_{TO} * P_{TO}) + (H_{SB} * P_{SB}) + (H_{CK} * P_{CK}) + (H_{OFF} * P_{OFF}) \right)}$$

in which,

$$Q_{ref,h} = P_{design,h} * H_{HE}$$

and,

$$SAEF_{h,on} = \frac{\sum_{j=i}^n h_j * P_h(T_j)}{\sum_{j=i}^n h_j * \left(\frac{P_h(T_j)}{AEF_{h,bin}(T_j)} \right)}$$

and,

$$AEF_{h,bin} = \frac{Q_{Eh} + Q_{Ehr,c}}{P_{Eh}}$$

and,

- Q_{Eh} = effective heating capacity, in kW;
- $Q_{Ehr,c}$ = effective heat recovery capacity, in kW;
- P_{Eh} = effective heating electrical power input, in kW;
- AEF_h shall also take into account degradation effects due to cycling in a manner similar to that of electric heat pumps.

(1) For fixed capacity units:

In case the lowest declared heating capacity exceeds the part load for heating (or capacity ratio $CR_u \leq 1,0$):

$$GUE_{h,bin}(T_j) = GUE_d * \{1 - C_d * (1 - CR_u)\}$$

and,

$$AEF_{h,bin}(T_j) = AEF_d * \{1 - C_d * (1 - CR_u)\}$$

where:

- $GUE_d(T_j)$ = declared gas utilization efficiency at outdoor temperature T_j ;
- $AEF_d(T_j)$ = declared auxiliary energy factor at outdoor temperature T_j ;
- $C_d = 0,25$ (default value) or established by a cycling test.

and,

$$CR_u = \frac{P_H}{Q_{Eh} + Q_{Ehr}}$$

(2) For staged or variable capacity units:

Determine the declared heating capacity at the closest step or increment of the capacity control of the unit to reach the required heat load.

If this step allows the heating capacity to reach the required heating load within $\pm 10\%$ (e.g. between 9,9 kW and 8,1 kW for a required heating load of 9 kW), then $GUE_{bin}(T_j)$ is assumed to be equal to $GUE_d(T_j)$ and $AEF_{bin}(T_j)$ is assumed to be equal to $AEF_d(T_j)$.

If this step does not allow the heating capacity to reach the required heating load within $\pm 10\%$ (e.g. between 9,9 kW and 8,1 kW for a required heating load of 9 kW), determine the capacity and $GUE_{bin}(T_j)$ and $AEF_{bin}(T_j)$ at the defined part load temperatures for the steps on either side of the required heating load. The heating capacity in part load, the $GUE_{bin}(T_j)$ and the $AEF_{bin}(T_j)$ at the required heating load are then determined by linear interpolation between the results obtained from these two steps.

If the smallest control step of the unit only allows a declared heating capacity higher than the required heating load, the $GUE_{bin}(T_j)$ and $AEF_{bin}(T_j)$ at the required part load ratio is calculated using the approach laid out for fixed capacity units.

For bins representing other than above described operating conditions the GUE_{bin} and AEF_{bin} shall be established by interpolation, except for part load conditions above part load condition A, for which the same values as for condition A shall be used and for part load conditions below part load condition D, for which the same values as for condition D shall be used.

3.2. Calculation of the seasonal space cooling energy efficiency for chillers and air conditioners:

(a) For chillers and air conditioners using electricity

(i) The seasonal space cooling energy efficiency $\eta_{s,c}$ is defined as:

$$\eta_{s,c} = \frac{SEER}{CC} - \sum F(i)$$

where:

- SEER is the seasonal space cooling energy efficiency in active mode, expressed in %;
- $F(i)$ are the corrections calculated according to point 3.3 expressed in %.

(ii) Calculation of SEER:

$$\text{SEER} = \frac{Q_C}{Q_{CE}}$$

where:

$$Q_C = P_{\text{design,c}} * H_{CE}$$

and,

$$Q_{CE} = \frac{Q_C}{\text{SEER}_{\text{on}}} + (H_{\text{TO}} * P_{\text{TO}}) + (H_{\text{SB}} * P_{\text{SB}}) + (H_{\text{CK}} * P_{\text{CK}}) + (H_{\text{OFF}} * P_{\text{OFF}})$$

in which,

$$\text{SEER}_{\text{on}} = \frac{\sum_{j=1}^n h_j * P_c(T_j)}{\sum_{j=1}^n h_j * \left(\frac{P_c(T_j)}{\text{EER}_{\text{bin}}(T_j)} \right)}$$

(iii) $\text{EER}_{\text{bin}}(T_j)$ is calculated as follows:

(1) For electric air conditioners (connected to an air-based cooling system) of which the capacity control is fixed capacity:

In case the lowest declared cooling capacity exceeds the part load for cooling (or capacity ratio $\text{CR}_u \leq 1,0$):

$$\text{EER}_{\text{bin}}(T_j) = \text{EER}_d * \{1 - C_d * (1 - \text{CR}_u)\}$$

where:

— $\text{EER}_d(T_j)$ = declared coefficient of performance;

— $C_d = 0,25$ (default value) or established by a cycling test;

— $\text{CR}_u = \frac{P_c}{P_d}$.

(2) For electric comfort chillers and high temperature process chillers (connected to a water-based cooling system) of which the capacity control is fixed capacity

In case the lowest declared cooling capacity exceeds the part load for cooling (or capacity ratio $\text{CR}_u \leq 1,0$):

$$\text{EER}_{\text{bin}}(T_j) = \text{EER}_d(T_j) * \left(\frac{\text{CR}_u}{C_c * \text{CR}_u + (1 - C_c)} \right)$$

where:

- $EER_d(T_j)$ = declared coefficient of performance;
- $C_c = 0,9$ (default value) or established by a cycling test;
- $CR_u = \frac{P_c}{P_d}$.

(3) For staged or variable capacity air conditioners and comfort chillers:

Determine the declared cooling capacity and $EER_d(T_j)$ at the closest step or increment of the capacity control of the unit to reach the required cooling load.

If this step does allow to reach the required cooling load within $\pm 10\%$ (e.g. between 9,9 kW and 8,1 kW for a required cooling load of 9 kW), then $EER_{bin}(T_j)$ is assumed to be equal to $EER_d(T_j)$.

If this step does not allow to reach the required cooling load within $\pm 10\%$ (e.g. between 9,9 kW and 8,1 kW for a required cooling load of 9 kW), determine the capacity and $EER_{bin}(T_j)$ at the defined part load temperatures for the steps on either side of the required cooling load. The part load capacity and the $EER_{bin}(T_j)$ at the required cooling load are then determined by linear interpolation between the results obtained from these two steps.

If the smallest control step of the unit only allows a declared cooling capacity higher than the required cooling load, the $EER_{bin}(T_j)$ at the required part load ratio is calculated using the approach laid out for fixed capacity units.

(4) For high temperature process chillers:

The required cooling load shall be reached within a $\pm 3\%$ margin.

For bins representing other than above described operating conditions the EER_{bin} shall be established by interpolation, except for part load conditions above part load condition A, for which the same values as for condition A shall be used and for part load conditions below part load condition D, for which the same values as for condition D shall be used.

(b) For chillers and air conditioners using fuels

(i) The seasonal space cooling energy efficiency $\eta_{S,c}$ is defined as:

$$\eta_{S,c} = SPER_c - \sum F(i)$$

where:

- $SPER_c$ is the seasonal primary energy ratio for cooling, expressed in %;
- $F(i)$ are the corrections calculated according to point 3.3 expressed in %.

(ii) Calculation of $SPER_c$:

$$SPER_c = \frac{1}{\frac{1}{SGUE_c} + \frac{CC}{SAEF_c}}$$

where:

$$SGUE_c = \frac{\sum_{j=i}^n h_j * P_c(T_j)}{\sum_{j=i}^n h_j * \left(\frac{P_c(T_j)}{GUE_{c,bin}(T_j)} \right)}$$

and,

$$SAEF_h = \frac{Q_{ref,c}}{\left(\frac{Q_{ref,c}}{SAEF_{c,on}} + (H_{TO} * P_{TO}) + (H_{SB} * P_{SB}) + (H_{CK} * P_{CK}) + (H_{OFF} * P_{OFF}) \right)}$$

in which,

$$Q_{ref,c} = P_{design,c} * H_{CE}$$

and,

$$SAEF_{c,on} = \frac{\sum_{j=i}^n h_j * P_c(T_j)}{\sum_{j=i}^n h_j * \left(\frac{P_c(T_j)}{AEF_{c,bin}(T_j)} \right)}$$

(iii) $GUE_{c,bin}(T_j)$ and $AEF_{c,bin}(T_j)$ are calculated as follows:

(1) For air conditioners with internal combustion (connected to an air-based cooling system) of which the capacity control is fixed capacity:

In case the lowest declared cooling capacity exceeds the part load for cooling (or capacity ratio $CR_u \leq 1,0$):

$$GUE_{c,bin}(T_j) = GUE_d * \{1 - C_d * (1 - CR_u)\}$$

and,

$$AEF_{c,bin}(T_j) = AEF_d * \{1 - C_d * (1 - CR_u)\}$$

where:

— $GUE_d(T_j)$ = declared gas utilization efficiency at outdoor temperature T_j ;

— $AEF_d(T_j)$ = declared auxiliary energy factor at outdoor temperature T_j ;

— $C_d = 0,25$ (default value) or established by a cycling test;

and,

$$CR_u = \frac{P_H}{Q_{Eh} + Q_{Ehr}}$$

(2) For comfort chillers with internal combustion (connected to a water-based cooling system) of which the capacity control is fixed capacity:

In case the lowest declared cooling capacity exceeds the part load for cooling (or capacity ratio $CR_u \leq 1,0$):

$$EER_{bin}(T_j) = EER_d(T_j) * \left(\frac{CR_u}{C_c * CR_u + (1 - C_c)} \right)$$

where:

- $EER_d(T_j)$ = declared coefficient of performance
- $C_c = 0,9$ (default value) or established by a cycling test

and,

$$CR_u = \frac{P_c}{P_d}$$

(3) For staged or variable capacity units:

Determine the declared cooling capacity at the closest step or increment of the capacity control of the unit to reach the required heat load.

If this step allows the cooling capacity to reach the required cooling load within $\pm 10\%$ (e.g. between 9,9 kW and 8,1 kW for a required cooling load of 9 kW), then $GUE_{bin}(T_j)$ is assumed to be equal to $GUE_d(T_j)$ and $AEF_{bin}(T_j)$ is assumed to be equal to $AEF_d(T_j)$.

If this step does not allow the cooling capacity to reach the required cooling load within $\pm 10\%$ (e.g. between 9,9 kW and 8,1 kW for a required cooling load of 9 kW), determine the capacity and $GUE_{bin}(T_j)$ and $AEF_{bin}(T_j)$ at the defined part load temperatures for the steps on either side of the required cooling load. The cooling capacity in part load, the $GUE_{bin}(T_j)$ and the $AEF_{bin}(T_j)$ at the required cooling load are then determined by linear interpolation between the results obtained from these two steps.

If the smallest control step of the unit only allows a declared cooling capacity higher than the required cooling load, the $GUE_{bin}(T_j)$ and $AEF_{bin}(T_j)$ at the required part load ratio is calculated using the approach laid out for fixed capacity units.

For bins representing other than above described operating conditions the GUE_{bin} and AEF_{bin} shall be established by interpolation, except for part load conditions above part load condition A, for which the same values as for condition A shall be used and for part load conditions below part load condition D, for which the same values as for condition D shall be used.

and,

$$GUE_d = \frac{Q_{Ec} + Q_{Ehr,c}}{Q_{gmc}}$$

where:

- Q_{Ec} = effective cooling capacity, in kW;
- $Q_{Ehr,c}$ = effective heat recovery capacity, in kW;
- Q_{gmc} = is the measured cooling heat input, in kW.

and,

$$AEF_d = \frac{Q_{Ec} + Q_{Ehr,c}}{P_{Ec}}$$

where:

- Q_{Ec} = effective cooling capacity, in kW;
- $Q_{Ehr,c}$ = effective heat recovery capacity, in kW;
- P_{Ec} = effective cooling electrical power input, in kW.

3.3. Calculation of F(i) for comfort chillers, air conditioners and heat pumps:

- (a) The correction F(1) accounts for a negative contribution to the seasonal space heating or cooling energy efficiency of products due to adjusted contributions of temperature controls to seasonal space heating and cooling energy efficiency, expressed in %.

$$F(1) = 3 \%$$

- (b) The correction F(2) accounts for a negative contribution to the seasonal space heating or cooling efficiency by electricity consumption of ground water pump(s), expressed in %.

$$F(2) = 5 \%$$

4. *Additional elements for calculations related to the seasonal space heating and cooling efficiency and the testing of multisplit air conditioners and multisplit heat pumps.*

The choice of the indoor unit for multisplit air conditioners and multisplit heat pumps related to the capacity shall be limited to:

- The same type of indoor units for the test;
- The same size of the indoor units if the system capacity ratio $\pm 5 \%$ can be reached. If the system capacity ratio of $\pm 5 \%$ with same sizes cannot be reached, sizes as similar as possible, with the number of indoor units as prescribed below to meet the system capacity ratio $\pm 5 \%$;
- The number of indoor units shall be limited as follows:
 - Capacity equal or above 12 kW and below 30 kW, 4 indoor units;
 - Capacity equal or above 30 kW and below 50 kW, 6 indoor units;
 - Capacity equal to or above 50 kW, 8 indoor units;
 - Capacity equal to or above 50 kW with multiple outdoor units, the sum of the indoor units as defined for a single outdoor unit.

5. *Additional elements for calculations related to the seasonal energy performance ratio of high temperature process chillers*

5.1. Calculation of the seasonal energy performance ratio (SEPR) for high temperature process chillers.

- (a) The SEPR is calculated as the reference annual refrigeration demand divided by the annual electricity consumption:

$$\text{reference SEPR} = \frac{\sum_{j=1}^n [h_j \cdot P_R(T_j)]}{\sum_{j=1}^n \left[h_j \cdot \frac{P_R(T_j)}{\text{EER}_{PL}(T_j)} \right]}$$

where:

- T_j is the bin temperature;
- j is the bin number;
- n is the amount of bins;
- $P_R(T_j)$ is the refrigeration demand of the application for the corresponding temperature T_j ;
- h_j is the number of bin hours occurring at the corresponding temperature T_j ;
- $\text{EER}_{PL}(T_j)$ is the EER value of the unit for the corresponding temperature T_j . This includes part load conditions.

NOTE: This annual electricity consumption includes the power consumption during active mode. Other modes, such as Off mode and standby modes are not relevant for process applications as the appliance is assumed to be running all year long.

- (b) The refrigeration demand $P_R(T_j)$ can be determined by multiplying the full load value (P_{designR}) with the part load ratio (%) for each corresponding bin. These part load ratios are calculated using the formulas shown in Tables 22 and 23 in Regulation (EU) 2016/2281.

- (c) The energy efficiency ratio $EER_{PL}(T_j)$ at part load conditions A, B, C, D is determined as explained below:

In part load condition A (full load), the declared capacity of a unit is considered equal to the refrigeration load (P_{designR}).

In part load conditions B, C, D, there can be two possibilities:

- (i) If the declared capacity (DC) of a unit matches with the required refrigeration loads, the corresponding EER_{DC} value of the unit is to be used. This may occur with variable capacity units.

$$EER_{PL}(T_{B,C \text{ or } D}) = EER_{DC}$$

- (ii) If the declared capacity of a unit is higher than the required refrigeration load, the unit has to cycle on/off. This may occur with fixed capacity or variable capacity units. In such cases, a degradation coefficient (C_c) has to be used to calculate the corresponding EER_{PL} value. Such calculation is explained below.

- (1) For fixed capacity units:

In order to obtain a time averaged outlet temperature the inlet and outlet temperatures for the capacity test shall be determined using the equation below:

$$t_{\text{outlet,average}} = t_{\text{inlet,capacity test}} + (t_{\text{outlet,capacity test}} - t_{\text{inlet,capacity test}}) * CR$$

where:

- $t_{\text{inlet,capacity test}}$ = evaporator water inlet temperature (for conditions B, C or D as set out in Regulation (EU) 2016/2281, Annex III, table 22 and 23)
- $t_{\text{outlet,capacity test}}$ = evaporator water outlet temperature (for conditions B, C or D as set out in Regulation (EU) 2016/2281, Annex III, table 22 and 23)
- $t_{\text{outlet,average}}$ = mean evaporator water average outlet temperature over an on/off cycle (for instance + 7 °C as set out in Regulation (EU) 2016/2281, Annex III, table 22 and 23)
- CR = the capacity ratio, calculated as the refrigeration load (P_R) divided by the refrigeration capacity (P_d) at the same operating condition, as follows:

$$CR = \frac{P_R(T_j)}{P_d(T_j)}$$

For determining $t_{\text{outlet,average}}$ an iterative procedure is required at all conditions (B, C, D) where the chiller refrigeration capacity (control step) is higher than the required refrigeration load.

- Test at t_{outlet} from Table 22 or 23 of Regulation (EU) 2016/2281 with the water flow rate as determined for tests at condition 'A' for chillers with a fixed water flow rate or with a fixed temperature difference for chillers with a variable flow rate;
- Calculate CR;

- Apply calculation for $t_{\text{outlet,average}}$ to calculate the corrected $t_{\text{outlet,capacity}}$ test at which the test shall be performed in order to obtain $t_{\text{outlet,average}}$ equal to the outlet temperature as defined in Tables 22 or 23 of Annex III of Regulation (EU) 2016/2281;
- Retest with the corrected t_{outlet} and the same water flow rate;
- Recalculate CR;
- Repeat previous steps until CR and $t_{\text{outlet,capacity}}$ test do not change any more.

Then, for each part load conditions B, C, D the EER_{PL} is calculated as follows:

$$EER_{PL(B,C,D)} = EER_{DC(B,C,D)} \cdot \frac{CR_{(B,C,D)}}{C_{c(B,C,D)} \cdot CR_{(B,C,D)} + (1 - C_{c(B,C,D)})}$$

where:

- EER_{DC} is the EER corresponding to the declared capacity (DC) of the unit at the same temperature conditions as for part load conditions B, C, D;
- C_c is the degradation coefficient for chillers for part load conditions B, C, D;
- CR is the capacity ratio for part load conditions B, C, D.

For chillers, the degradation due to the pressure equalization effect when the unit restarts can be considered as negligible.

The only effect that will impact the EER at cycling is the remaining power input when the compressor is switched off.

The electrical power input during the compressor off state of the unit is measured when the compressor is switched off for at least 10 min.

The degradation coefficient C_c is determined for each part load ratio as follows:

$$C_c = 1 - \frac{\text{measured power of compressor off state}}{\text{total power input (full capacity at the part load conditions)}}$$

If C_c is not determined by test then the default degradation coefficient C_c is 0,9.

(2) For variable capacity units:

Determine the declared capacity and EER_{PL} at the closest step or increment of the capacity control of the unit to reach the required refrigeration load. If this step does not allow reaching the required refrigeration load within +/- 10 % (e.g. between 9,9 kW and 8,1 kW for a required refrigeration load of 9 kW), determine the capacity and EER_{PL} at the defined part load temperatures for the steps on either side of the required refrigeration load. The part load capacity and the EER_{PL} at the required refrigeration load are then determined by linear interpolation between the results obtained from these two steps.

If the smallest control step of the unit is higher than the required refrigeration load, the EER_{PL} at the required part load ratio is calculated using the equation for fixed capacity units.

- (d) The energy efficiency ratio $EER_{PI}(T_j)$ at part load conditions, different than part load conditions A, B, C, D is determined as explained below:

The EER values at each bin are determined via interpolation of the EER values at part load conditions A, B, C, D as mentioned in the Tables 22 and 23 of Regulation (EU) 2016/2281.

For part load conditions above part load condition A, the same EER values as for condition A are used.

For part load conditions below part load condition D, the same EER values as for condition D are used.
